

GARBERVILLE SANITARY DISTRICT
P.O. Box 211 Garberville, CA 95542

PERSONNEL POLICY



Adopted June 6, 2006

Amended: September 17th, 2019

Review of Updates to Policy, Resumed: 09/28/2023 LS
Amendments will be noted following each section and dated accordingly.

TABLE OF CONTENTS

- **INTRODUCTION. Page 5.**
- **PERSONNEL POLICY. Page 6.**
- **1.0 -EMPLOYMENT AT WILL. Page 6.**
- **2.0 -ORGANIZATION. Page 6.**
- **2.1 -CHAIN OF COMMAND. Page 6.**
- **2.2 -ABSENCE OF GENERAL MANAGER. Page 6.**
- **3.0 -EMPLOYMENT PROCESS. Page 6.**
- **3.1 -EQUAL OPPORTUNITY. Page 6.**
- **3.2 -QUALIFICATIONS. Page 6.**
- **3.3 -EMPLOYMENT PROCESS. Page 7.**
- **3.4 -EVALUATION PERIOD. Page 7.**
- **4.0 -JOB DESCRIPTIONS. Page 7.**
- **4.1 -GENERAL MANAGER. Page 7.**
- **4.1.1 -CHIEF OPERATOR. Page 7.**
- **4.1.2 -OPERATOR I. Page 8.**
- **4.1.3 -FIELD STAFF. Page 8.**
- **4.1.4 -ACCOUNT CLERK/ADMINISTRATIVE ASSISTANT. Page 8.**
- **4.2 -WORKWEEK. Page 8.**
- **4.2.1 -WORK HOURS. Page 8.**
- **4.2.2 -MEAL TIME AND BREAKS. Page 9.**
- **5.0 -PAY AND BENEFITS. Page 9.**
- **5.1 -CATEGORIES OF EMPLOYMENT. Page 9.**
- **5.1.1 -INTRODUCTORY PERIOD. Page 9.**
- **5.1.2 -PERMANENT FULL-TIME EMPLOYEES. Page 9.**
- **5.1.3 -PERMANENT PART-TIME EMPLOYEES. Page 9.**
- **5.1.4 -TEMPORARY PART-TIME EMPLOYEES. Page 9.**
- **5.2 -PAY. Page 9.**
- **5.2.1 -WAGES AND SALARIES. Page 9.**

- **5.2.2 -TIME CARDS. Page 9.**
- **5.2.3 -PAYDAYS. Page 10.**
- **5.2.4 -PAY ADVANCES. Page 10.**
- **5.2.5 -OVERTIME. Page 10.**
- **5.2.6 -ON-CALL TIME. Page 10.**
- **5.2.7 -CALL-OUT TIME. Page 10.**
- **5.2.8 -COMPENSATORY TIME. Page 10.**
- **5.2.9 -RAISES. Page 11.**
- **5.3 -TIME OFF. Page 11.**
- **5.3.1 -VACATION. Page 11.**
- **5.3.2 -HOLIDAYS. Page 12.**
- **5.3.3 -SICK LEAVE. Page 12. & 13.**
- **5.3.4 -FAMILY MEDICAL LEAVE. Page 13.**
- **5.3.5 -MILITARY LEAVE. Page 13.**
- **5.3.6 -BEREAVEMENT LEAVE. Page 13.**
- **5.3.7 -JURY DUTY. Page 14.**
- **5.3.8 -TIME OFF FOR VOTING. Page 14.**
- **5.3.9 -LEAVE OF ABSENCE. Page 14.**
- **5.4 -BENEFITS. Page 14.**
- **5.4.1 -HEALTH INSURANCE. Page 14. & 15.**
- **5.4.2 -RETIREMENT BENEFITS. Page 15.**
- **5.4.3 -WORKERS' COMPENSATION. Page 15.**
- **5.4.4 -STATE DISABILITY. Page 15. & 16.**
- **5.4.5 -SOCIAL SECURITY. Page 16.**
- **5.4.6 -WATER SERVICE DISCOUNT. Page 16.**
- **6.0 -PERSONNEL RECORDS. Page 16.**
- **6.1 -NAMES AND ADDRESSES. Page 16.**
- **6.1.2 -EMPLOYEE REFERENCES. Page 16.**
- **7.0 -POLICIES REGARDING EMPLOYEE CONDUCT. Page 16.**
- **7.1 -SAFETY POLICY. Page 16. & 17.**
- **7.2 -SMOKING POLICY. Page 18.**
- **7.3 -ALCOHOL AND DRUG USE POLICY. Page 18. & 19.**
- **7.4 -NON-HARASSMENT POLICY. Page 19.**
- **7.5 -STANDARDS OF CONDUCT. Page 19. & 20.**

- 7.6 -GENERAL OFFICE AND FIELD POLICIES. Page 20.
- 7.7 -APPROVAL OF EXPENDITURES. Page 21.
- 7.8 -QUESTIONS REGARDING BOARD MEMBERS. Page 21.
- 7.9 -NEWS MEDIA. Page 21.
- 7.10 -SEMINARS AND WORKSHOPS. Page 21.
- 7.11 -CONFLICT OF INTEREST. Page 21.
- 8.0 -PERFORMANCE EVALUATION. Page 21.
- 8.1 -ANNUAL EVALUATION. Page 22.
- 8.2 -INTERIM EVALUATIONS AND COUNSELING. Page 22.
- 8.3 -POSITIVE PERFORMANCE GUIDELINES. Page 22.
- 9.0 -GRIEVANCE PROCEDURE. Page 22.
- 10.0 -DISPUTE RESOLUTION PROCEDURE. Page 23.
- 11.0 -RIGHTS TO REVISE. Page 23.
- 12.0 -CONFIRMATION OF RECEIPT. Page 24.

APPENDIX A, Chain of Command APPENDIX B, Job Descriptions APPENDIX C, Dispute Resolution Procedure APPENDIX D, Conflict of Interest Code.

Personnel Policy Amended: September 17th, 2019.

Updates to Amendments Resumed 09/28/2023 LS

INTRODUCTION

Welcome to the Garberville Sanitary District. The District was organized April 12, 1932, as a Special District to provide services to our community. The District is an independent special district governed by a five member Board of Directors elected by Garberville's voters.

The Garberville Sanitary District is committed to a standard of excellence in the services that it provides to its customers. GSD employees participate in efforts to meet this commitment to achieve a high level of service to the community. Each employee is expected to uphold the GSD commitment to "professionalism" which is understood to be an integral part of each employee's position responsibilities.

"Professionalism" includes, but is not limited to, sharing expertise and assistance with other staff, working as a team player, maintaining a positive attitude, pitching in at time of crises, and providing customers, community, GSD Board Members and other GSD staff with the highest quality service and respect.

This Employee Policy is intended to help you get acquainted with the Garberville Sanitary District (GSD). It explains some of our philosophies and beliefs, and describes, in general terms, some of our employment guidelines. We hope that it will serve as a useful reference document throughout your employment at GSD. This policy supersedes and replaces all previous personnel policies and guidelines. To determine if this version of the policy is current, you are requested to contact the GSD office.

Adopted 6/6/06

Amended 9/17/2019

GARBERVILLE SANITARY DISTRICT

PERSONNEL POLICY

1.0 EMPLOYMENT AT WILL

Garberville Sanitary District is an “at-will” employer. GSD and GSD employees have the right to terminate the employment relationship at any time, with a minimum of two weeks written advance notice of the termination date, with or without cause. There are no express or limited covenants and no statements in this manual that shall in any way conflict with this mutual right and obligation. This type of employment is intended to be employment “at will” under California law. No one other than the Board of Directors has the authority to alter this arrangement, to enter into an agreement for employment for a specific period of time, or to make any agreement contrary to this “at will” policy. Any agreement that in any way alters the “at will” status of an employee must be authorized by a specific Resolution of the Board of Directors.

2.0 ORGANIZATION

2.1 Chain of Command:

The chain of command is shown in the diagram in Appendix “A.” The General Manager or designee will be the Chief Executive Officer responsible for all day-to-day operations. If the General Manager or designee is not available then the Board Chair will be consulted and if the Board chair is not available, next in line is the Vice Chair, and then decision making authority extends to any Board Member that is available.

2.2 Absence of General Manager or Designee:

When the General Manager or designee is absent, or otherwise not available, his/her staff will report to the Board Chair, as per chain of command in all matters requiring a managerial decision, or as specified by their manager before his/her absence.

3.0 EMPLOYMENT PROCESS

3.1 Equal Opportunity:

The Garberville Sanitary District will recruit, hire, train, promote and administer human resource activities without regard to age, sex, race, creed, color or national origin, or any other legally protected status, and shall comply with the intent and the letter of all applicable laws which prohibit discrimination and affirm equal opportunity.

3.2 Qualifications:

- a) All employees must be at least 18 years of age.
- b) Other qualifications are listed in the job descriptions attached in Appendix “B.”

3.3 Employment Process:

All individuals seeking employment with GSD must complete a written application. The General Manager or designee will conduct an interview of candidates qualified for the position and may give applicant skills tests, which may include but are not limited to: communications, basic math, computer, and job-related skills. Certificates, licenses, and other qualifications may be required as necessary for job.

The applications of acceptable candidates may be presented to the Board, who may also choose to interview these candidates. The General Manager or designee will make the final hiring decision of qualified applicants.

(1) Hiring is contingent upon the new employee's passing a physical exam and appropriate or necessary drug, background, or other screening deemed necessary by GSD.

3.3 (a) Evaluation Period and Notice of Termination: Following successful completion of a 3-month evaluation period, the employee will be considered an employee in good standing.

(b) All employees are "At Will", which means that the employee may be terminated at any time, with or without cause. By signing the employment agreement, the employee acknowledges that they may be terminated at any time and that they have no legal rights to remain employed, once terminated from employment.

Sec. 3.0 Updated by LS 09/28/2023 as per Revision Adopted 09/26/2023 BOD Meeting.

4.0 JOB DESCRIPTIONS

4.1 General Manager:

The General Manager serves as the chief executive officer responsible for supporting the service, financial and capital improvement planning activity of the governing board. The General Manager oversees all operations, while executing District Policy and Ordinances. The General Manager is responsible for staffing of all departments and managing the scheduling of approved work programs in conformance with applicable statutes, regulations and policies.

A complete job description can be found in Appendix B.

Sec. 4.1 Updated by LS 09/28/2023 as per Revision Adopted 09/26/2023 BOD Meeting.

4.1.1 Chief Operator:

The Chief Operator reports to the General Manager or designee and is responsible for performing water and wastewater treatment processing and analysis work in conformance with regular procedures, approved treatment processing methods and regulatory prescribed techniques and verifying compliance with requirements and validating quality of completed work. The Chief Operator is responsible for all activities in the operations, maintenance and construction of District facilities. The Chief Operator is also responsible for supervising Operators and Field Staff.

The Chief Operator is directly responsible to notify the General Manager or designee of any unusual occurrence or emergency situations. When the General Manager is not available, the Chief Operator will follow the chain of command. A complete job description can be found in Appendix B.

4.1.2 Water/Wastewater Operator:

The Water/Wastewater Operator reports to the Chief Operator and is responsible for performing water and wastewater treatment processing work in conformance with routine processes, treatment methods and in conformance with regulations and standard work and safety techniques. A complete job description can be found in Appendix B.

4.1.3 Field Staff—Permanent and Part Time:

Permanent and temporary part-time field staff report to and are supervised by the Chief Operator and are responsible for completion of all work assigned to them by the Chief Operator or General Manager.

4.1.4 Account Clerk/Administrative Assistant:

The Account Clerk/Administrative Assistant reports to the General Manager and is responsible for performing accounting and bookkeeping work in conformance with routine processes, accounting and financial transaction processing methods and accounting operations scheduling and control techniques. Responsible for performing a wide variety of general administrative support work and secretarial duties in conformance with established procedures. A complete job description can be found in Appendix B.

4.2 Workweek:

Because of the nature of our business, the District's work schedule may vary depending on the employee's job. The District's normal business hours are 9:00 a.m. to 5:00 p.m., Monday through Thursday. The water/wastewater operator's work 7:00 a.m. to 3:30 p.m., Monday through Friday.

4.2.1 Work Hours:

The work week is defined as the 7-day period beginning at 00:01 Monday and ending at 24:00 the following Sunday. The work day is defined as the period beginning at midnight and ending at the following midnight.

a) The General Manager is intended to be a full time position. This position is exempt from the Fair Labor Standards Act Overtime. The General Manager will attend all scheduled and special Board meetings.

b) Part-time office staff will work as scheduled by the General Manager or designee, with hours not to exceed 8 hours per day or 30 hours per week.

c) Office staff will schedule time so that at least one office staff person will be in the office during business hours.

d) The Chief Operator and Field Staff will, if necessary, work 40 hours per 7-day workweek, scheduled to avoid overtime.

e) The Chief Operator will attend all scheduled Board meetings and special Board meetings as required, to be compensated by overtime if over 8 hours per day.

f) Part-time Field Staff will work as scheduled by the Chief Operator, with hours not to exceed 30 hours per 7-day workweek, scheduled to avoid overtime.

4.2.2 Meal Time and Breaks:

A half-hour, unpaid meal break will be taken each day. Two, 15-minute breaks will be taken daily, one to be taken in the morning and one to be taken in the afternoon. These breaks are to be taken based on the employee's work schedule. The employee is to check with their supervisor if they have questions.

5.0 PAY AND BENEFITS

Sec. 5.0 – 5.3.3 verified Up to Date as of 09/28/2023 as per Revisions Adopted 06/23/2020

Sick leave, holiday leave, vacation leave, health, and retirement benefits are some of the benefits approved by the District. Employee compensation will be based on work performed, knowledge of position and education required.

5.1 Categories of Employment-

5.1.1 Introductory period:

Full-time and part-time employees are on an introductory period during their first three months of employment. Upon completion of the introductory period, the employee's performance will be reviewed.

5.1.2 Regular full-time employees:

(General Manager, Chief Operator, Water/Wastewater Operator, and Account Clerk/Administrative Assistant) work 30 or more hours each week and are eligible to receive the GSD employee benefits package after completion of the 3-month introductory period.

5.1.3 Regular part-time employees are wage-earning employees:

They may work no more than 30 hours per week. The General Manager or designee may limit a part-time position to less than 30 hours per week. If hours are to be more than 30 hours per week on a long term basis, the General Manager or designee will determine if the additional hours were needed and inform the governing Board. Permanent part-time employees are entitled to limited benefits as described below.

5.1.4 Temporary part-time employees are wage-earning employees:

They may work no more than 30 hours per week, as approved by the General Manager or designee. Temporary parttime employees do not receive benefits.

5.2 Pay 5.2.1 Wages and Salaries:

Wages and salaries shall be determined by the General Manager or designee unless they are unavailable and at such time, the Board of Directors will make that decision. The Board of Directors will determine the salary of the General Manager.

5.2.2 Time Cards:

All employees are required to maintain an accurate record of time worked. All employees must complete time cards and submit them to the office prior to pay day as determined by the General Manager or designee.

5.2.3 Paydays:

Paychecks shall be issued on the 5th and on the 20th. If this date falls on a weekend, holiday or day in which two signatures cannot be obtained, pay day will be moved to the closest day preceding these dates. Checks will be available at the office by 3:00 pm on payday.

5.2.4 Pay Advances:

There will no pay advances given on work performed. All vacation hours accrued at end of December will be paid above 200 and must first be approved by the General Manager or designee.

5.2.5 Overtime:

The District will pay overtime in accordance with California State Law. The General Manager is exempt from this law. All overtime must be approved in advance by the General Manager or designee. Hourly employees will be paid at a rate of time and one-half for hours worked over 8 in a day and/or 40 in a week. Hours in excess of 12 in one workday and in excess of eight on the seventh consecutive workday in a workweek shall be paid at double the regular rate of pay. Only actual hours worked count towards computing weekly overtime

5.2.6 On-Call Time:

“On-Call” means the designated employee must respond to all emergencies and must be able to respond within 30 minutes to all call-outs.

- a. On-Call Work Week The on-call work week begins at 7:00AM Tuesday morning and ends at 6:59AM on Tuesday morning of the following week. These hours and days can be modified by Senior Operator, General Manager or designee.
- b. On-Call Compensation Compensation for being on-call is \$25/day or \$175/week

5.2.7 Call-Out Time:

“Call-Out” means an employee may be called to respond to emergencies on their scheduled time off. The District will pay a minimum of 2 hours pay, at the appropriate rate, if they are call-out. This will be in addition to daily or “On-Call” compensation.

5.2.7a Call-Out and Overtime Compensation:

Employees that are required to work on their days off or after the normal work day must have their overtime approved by the General Manager or designee prior to performing the after-hours work.

Sec. 5.2.7a Updated as of 09/28/2023 LS as per Revisions Adopted 06/23/2020 BOD Meeting

5.2.8 Compensatory Time:

Employees that are called to work during vacations, holidays, emergencies, or when other staff are not available to complete necessary work, shall get paid at a rate of time and one-half for hours worked. Comp time may be accrued if approved by the General Manager or designee. All comp time accumulated cannot exceed 80 hours, or the amount over 80 hours, will be paid at straight time, prior to the end of the calendar year.

Sec. 5.2.8 Updated as of 09/28/2023 LS as per Revisions Adopted 06/23/2020 BOD Meeting

5.2.9 Raises:

Pay raises may be given upon evaluation or when additional education, licenses or certificated of experience have been received and will be determined by the General Manager or designee. If the General Manager or designee is unavailable, the GSD Board may issue pay raises.

- a. **Cost of Living:** All permanent employees are eligible to receive annual cost of living increases at beginning of the fiscal year, based upon the Consumer Price Index and at the discretion of the General Manager, designee or Board.
- b. **Merit Pay Increase:** All permanent employees are eligible for an annual increase over and above cost of living increases. When considering a Merit pay adjustment, the General Manager or designee will evaluate the employee's willingness to work, ability to learn and record of accomplishments. The General Manager or designee is responsible for determining pay increases and will provide those increases to the Board. The Governing Board will be responsible for any salary or wage increases to the General Manager.

5.3 Time Off:

Time off will be granted according to category specified below and with approval from the General Manager or designee.

5.3.1 Vacation:

Full-time employees are eligible for paid vacation. Vacation is calculated according to your anniversary date.

- a) Full-time employees shall be entitled to 10 working days paid vacation after completion of one year of employment, prorated upon average total hours worked from date of hire. Accrued vacation time (5 working days) may be taken after six months of employment from date of hire with prior General Manager approval. Employees are encouraged to take vacation days because time away from work allows employees to relax and rejuvenate; which is necessary to handle stress which

may come with a work environment. Employees will be allowed to accrue (bank) up to 240 hours (30 days). Vacation hours accrued above 240 hours will be paid to the employee in an annual check at the end of the calendar year.

- b) The General Manager will not be paid for vacation time accrued above 240 hours annually and will only be paid for unused vacation time, not to exceed 320 hours (2 months), when terminated from the GSD employment.
- c) After 5 years of employment, a full-time employee shall be entitled to 15 days paid vacation.
- d) After 10 years of employment, a full-time employee shall be entitled to 20 days paid vacation.
- e) After 20 years of employment, a full-time employee shall be entitled to 30 days paid vacation.
- f) An employee eligible for paid vacation may request approval by the General Manager or designee to receive pay for up to ½ of the year's vacation time, in lieu of taking time off. Requesting pay in lieu of using vacation days is discouraged and may only be granted for specific circumstances as specified by the General Manager or designee.
- g) Paid time off is to be requested in writing as far in advance as possible, so that management can plan for coverage by other staff members. Paid time off will be scheduled with management approval on a seniority basis.
- h) Management shall schedule his/her vacation time as well as all other employees so that all operations of the District are covered.
- i) Full-time employees are eligible to use accrued vacation after six months of employment.

Sec. 5.3.1 Updated by LS 09/28/2023 as per Revision Adopted 02/23/2021 BOD Meeting.

5.3.2 Holidays:

- a. Full-time employees receive the following paid Federal holidays:

New Year's Day (Jan 1 st)	Labor Day (Sept-1 st Mon)
M. L. King Day (Jan-3 rd Mon)	Columbus Day (Oct-2 nd Mon)
Presidents' Day (Feb-3 rd Mon)	Thanksgiving Day (Nov-4 th Thurs)
Memorial Day (May-Last Mon)	Independence Day after Thanksgiving
Day (July 4 th)	Christmas Day (Dec 25 th)
- b. Permanent part-time employees, after 2 years of employment, receive 2 (two) 8-hour paid holidays: Thanksgiving and Christmas Day.
- c. Holiday Schedule: If one of the above holidays falls on a Saturday, the District will take Friday as the holiday. If one of the above holidays falls on a Sunday, the District will take Monday as the holiday.
- d. If an employee has to work on a Holiday, that employee will get paid at a rate of time and one-half for hours worked only. The employee does not receive additional holiday paid on top of time worked. If the employee only works a portion of the day, the hours worked will

be paid at a rate of time and one-half and the remaining hours will be paid at straight holiday pay.

- e. When an employee is required to work on a holiday, the holiday time off will be added to comp time and able to be used for time off when approved by General Manager or designee.
- f. Full-time employees are eligible for paid holidays after completing their 3-month introductory period.

5.3.3 Sick Leave:

Full-time employees are eligible for sick leave after completing their introductory period.

- a) Full time employees shall accrue one working day of sick leave for each month worked, prorated based upon average total hours worked. Sick leave may accrue up to 60 working days but will cease to accrue past 60 days..
- b) Sick leave Use: Paid sick leave may be used for health-related issues, including doctor/dentist visits but must be approved by General Manager or designee.
- c) Return to Work Authorization: Any employee, full-time or part-time, who is absent for more than 3 days, may be required by his/her supervisor or the Board of Directors to obtain a statement from a physician indicating ability to return to work.
- d) Family Members: Sick leave may be used to care for family members.
- e) Employees will not be paid for earned but unused sick leave upon termination.
- f) Full-time employees are eligible for paid sick leave after completing their 3-month introductory period.

5.3.4 Family Medical Leave:

Family care leave will be granted in accordance with the Family Medical Leave Act (FMLA).

- a) FMLA is an unpaid leave that may be used by employees when they have a prolonged illness or physical condition that prevents them from working, or it may be used by employees to take time to care for family members with serious medical condition requiring their help, if the condition is expected to last more than three consecutive days.
- b) Under the FMLA employees are entitled to 12 weeks Family Medical Leave (FML) per fiscal year; if they have worked for the District for 12 months prior to requested leave, for a minimum of 1250 hours in the previous 12 months.
- c) Under FMLA, employees are entitled to fully paid benefits while on leave and are guaranteed re-instatement of their job if they are physically capable.
- d) FML begins as soon as the employee receives written notification from the District of activation of FML. This may be as early as the first day of an absence due to a medical condition of the employee or his/her family member. Neither the employee nor the District can declare FML retroactively.
- e) FML runs concurrently with worker's compensation, or disability payments.
- f) Employees do not accrue additional vacation time or new sick leave while on FML, but the District must continue to cover paid benefits, such as health insurance.
- g) If possible (such as for scheduled surgery), employees must give the District written advance notice of their intention to take FML, as soon as they know they will need to take the leave.
- h) The District may require documentation from the attending physician.

5.3.5 Military Leave:

Employees who wish to serve in the military will be granted Military Leave in accordance with the Universal Military Leave Act. Employees are entitled to reinstatement upon completion of military service, provided they return or apply for reinstatement within the time allowed by law.

5.3.6 Bereavement Leave:

GSD grants leave of absence to all employees in the event of the death of the employee's current spouse (or domestic partner), child, parent, legal guardian, brother, sister, grandparent, or grandchild; or mother-, father-, sister-, brother-, son-, or daughter-in-law. An employee with such a death in the family may take up to the following:

- a. Full-time employees will be granted 3 days bereavement leave with pay in the event of the death of an immediate family member, or at the discretion of the General Manager.
- b. Permanent part-time employees will be granted 3 days bereavement leave without pay under the same circumstances.
- c. The General Manager may approve additional unpaid time off.

5.3.7 Jury Duty:

Full-time employees who are summoned for jury duty will be paid the difference between their normal rate of pay and the jury duty pay for a period of up to 10 working days per year. Employees must provide the District with a copy of the payment records from the court in order to be compensated. Should the employee have to serve more than 10 days, the employee may use accrued vacation time or take unpaid time off.

The employee should make arrangements with their supervisor, the General Manager, as soon as they receive the summons. Employees are expected to return to their job if they are excused from jury duty during their regular working hours.

Sec. 5.3.7 Updated by LS 09/28/2023 as per Revision Adopted 10/27/2020 BOD Meeting.

5.3.8 Time off for Voting:

If an employee does not have sufficient time outside of working hours to vote in an official state sanctioned election, the employee may take off enough working time to vote. Time off to vote must be approved by the General Manager or designee.

Sec. 5.3.8 Updated by LS 09/28/2023 as per Revision Adopted 10/27/2020 BOD Meeting.

5.3.9 Leave of Absence:

A full time employee may be granted a leave of absence without pay with approval from the General Manager or designee.

- a. Leave of absence for any purpose other than those listed above will be granted at the discretion of the General Manager nor designee.
- b. The employee must use all paid vacation and personal days off (but not sick leave) before taking an unpaid leave of absence.
- c. The employee must pay premiums on all insurance, and may elect to make retirement contributions while on leave of absence. The District will resume paying its contribution when the employee returns to work.

- d. If the employee does not return to work at the end of the leave, it will be considered a voluntary termination. The employee will then be entitled to COBRA and any other benefits of voluntary termination.
- e. Employees will not accrue any paid time off while on personal leave.

Sec. 5.3.9 Updated by LS 09/28/2023 as per Revision Adopted 10/27/2020 BOD Meeting.

5.4 Benefits:

GSD provides medical insurance and a retirement plan for eligible employees and their dependents on the first day of the month following the completion of the introductory period. Eligible employees may participate in the District's benefits. Information and enrollment forms may be obtained from the office.

5.4.1 Health Insurance:

- a. Full-time employees, after 30 days of employment, may participate in the insurance benefits currently provided by the District. Coverage starts on the first day of the month following enrollment. To assist you with the cost of this insurance, the District will pay for the employee portion of a single contract chosen by the Board of Directors. (If the employee chooses a plan that is above the Board's budgeted amount, the employee is responsible for paying the difference.) The employee will be responsible for paying the entire cost of the dependent coverage. In the event of an increase in medical insurance premium rates, all employees maybe required to contribute to the cost of increased premiums to retain coverage.
- b. Permanent part-time employees may participate in the health insurance plan at their own expense, after 6 months of employment.

5.4.2 Retirement Benefits:

The District provides eligible employees with an investment and savings plan for retirement. This plan is designed to be used in combination with the employee's Social Security benefits and personal resources to provide the employee with retirement income.

Eligibility for this plan begins the month following completion of the introductory period. Full Time of Part Time employees who earned at least \$5,000 per calendar year and are expected to earn at least \$5,000 in the current year are eligible to participate in the plan.

GSD will contribute a dollar-for-dollar match up to 3% of each eligible employee's compensation. The employer match contribution is based on current IRS laws. Employee will be responsible for the start-up and maintenance fees of their retirement plan. The cost is \$10.00 per year for each employee to establish and maintain the plan. This is paid directly to the administration responsible for the plan.

The retirement program may be review yearly for modifications.

Sec. 5.4.2 Updated by LS 09/28/2023 as per Revision Adopted 10/27/2020 BOD Meeting.

5.4.3 Workers Compensation:

On-the-job injuries are covered by the District's Worker's Compensation Insurance Policy provided at no cost to the employee.

- a. All District employees are covered by workers' compensation through SDWCA if they are injured on the job.
- b. Employees must report on-the-job injuries to their General Manager or designee immediately. SDWCA forms must be filled out within 24 hours of any injury requiring treatment. Forms and information are available at the GSD business office.

Sec. 5.4.3 Updated by LS 09/28/2023 as per Revision Adopted 10/27/2020 BOD Meeting.

5.4.4 State Disability:

- a. All District employees are eligible for a disability leave of absence due to illness, injury or pregnancy-related disabilities.
- b. Please provide the District written notice of disability, including a doctor's certificate stating the nature of the disability and the expected date of return to work.
- c. The employee is responsible for filing their claim and other forms promptly and accurately with the Employment Development Department (EDD). A claim may be obtained by calling EDD.
- d. The cost of this insurance is fully paid by the employee.
- e. The district will continue to provide medical insurance coverage for employees on authorized leave of absence due to physical disability for the first month of disability. When the above period expires, the employee may continue medical insurance coverage by making arrangements with the office to pay the appropriate monthly premium in advance each month.
- f. An employee's continued absence from work beyond their disability, as determined by their physician, will be deemed a voluntary termination of their employment.

5.4.5 Social Security:

Social Security is more than a paycheck deduction. It offers financial security for the employee and their dependents. Although this is a federally established program, it is the employee's contributions, and the District's, that pay for this benefit. Both the employee and the District contribute to provide the employee with monthly checks and medical coverage once the employee reach retirement age. These funds are then forwarded to the federal government to support the Social Security Program.

6.0 PERSONNEL RECORDS

The employee has a right to inspect certain documents in their personnel file, as provided by law, in the presence of GSD representative at a mutually convenient time. No copies of documents in their file may be made, with the exception of documents that they have previously signed. The employee may add their comments to any disputed item in the file.

GSD will restrict disclosure of their personnel file to authorized individuals with GSD. Any request for information contained in personnel files must be directed to the General Manager or designee. Only the General Manager or designee is authorized to release information about current or former employees. Disclosure of personnel information will be limited by law and GSD Policy. However, GSD will cooperate with requests from

authorized law enforcement, or local, state, or federal agencies conducting official investigations and as otherwise legally required.

Sec. 6.0 Updated by LS 09/28/2023 as per Revision Adopted 10/27/2020 BOD Meeting.

6.1 Names and Addresses:

GSD is required by law to keep current all employees' name and addresses. Employees are responsible for notifying the District in the event of a name or address change.

6.1.2 Employee References:

All requests for references must be directed to the General Manager or designee. No other person is authorized to release references for current or former employees. By policy, the District discloses the dates of employment, the title of the last position held, general description of last job duties, reason for termination, and any incidents of violence of former employees. If the employee authorizes the disclosure in writing, the District also will inform prospective employers of the amount of salary or wage they last earned.

7.0 POLICIES REGARDING EMPLOYEE CONDUCT

7.1 Safety Policy:

The District recognizes its responsibility for providing the safest possible conditions for its employees and customers. This responsibility is met by means of a safety program that is applied through the development of safety awareness among employees, the use of up to date safety equipment, and the continual inspection of conditions and practices by all levels of supervision. It is the responsibility of every employee to develop safe working habits. The development of proper attitudes toward safety is the only method to improve safe working habits. Therefore, training sessions play a large part in the safety program. The District wants to protect all employees and the public from injury and accidents. To accomplish this goal, the safety program involves all employees, and requires the active participation and cooperation of all to make it operate effectively.

Safety training sessions are conducted for all District employees, and employees are expected to perform in a safe manner. Negligent or unsafe conduct by an employee will result in disciplinary action. All employees must follow the safety procedures established by management. The Safety Meeting, attendance and topics will be reported montly at the regular Board Meeting.

Sec. 7.1 Updated by LS 09/28/2023 as per Revision Adopted 10/27/2020 BOD Meeting.

7.1.2 Hazardous Work Conditions:

Despite a collective and diligent effort to ensure safe working conditions for all District employees, there are hazards that cannot be foreseen or avoided.

Employees are expected to be aware of their surrounding at all times and to act safely and responsibly in the work place. Employees who are unsure, uncomfortable or hesitant should immediately notify the General Manager before engaging in the potentially hazardous work. Employees are NOT required to perform any task they consider to be dangerous or hazardous.

All incidents MUST be documented regardless of what the hazard or incident.

The following is a list of certain hazardous conditions that may arise and responsive actions:

- a) **Animals biting or attacking.** -As a general rule, employees should keep a safe distance from stray or domestic animals. If an animal displays any tendencies perceived to be dangerous, do not come into contact with the animal, carry deterrent spray, contact the owner to control the animal, and call for assistance. If an employee is attacked, seek immediate medical attention and report the attack to the General Manager or designee and law enforcement if needed.
- b) **Angry and threatening people.** -Employees are likely to encounter combative people during their employment. In such circumstances, employees should remain calm, professional, and respectful. Employees should remove themselves from the situation by leaving the area and should not, under any circumstances, respond aggressively. Employees should immediately report the incident to the General Manager or designee and law enforcement if needed.
- c) **Needles and dangerous paraphernalia.** -Employees who encounter needles or drug paraphernalia should immediately notify law enforcement and the General Manager or designee. Employees should not attempt to remove the item, unless appropriate safety precautions are taken, including avoiding contact with bare skin by wearing protective gloves and ensuring proper disposal. If an employee is injured by any object, go directly to the emergency room and notify General Manager or designee.
- d) **Being asked to do work for Customers.** -If a customer asked you to assist them, employees must first notify the General Manager or designee for prior approval. Employees should not provide assistance to customers if it involves going in private residences or on private property to perform work. Under no circumstances may an employee accept payment for work performed for a customer if during work hours for the District.
- e) **Working alone.** -If conditions are dangerous, employees should stop, call for assistance, and notify General Manager or designee.
- f) **Working in confined spaces or with chemicals.** -Employees should always notify a co-worker and the General Manager or designee in advance of performing the work in confined spaces or with any chemicals. Only employees who have obtained the proper training and certifications should perform any work with chemicals. If an employee is unsure, ask the General Manager or designee first. Employees should comply with any and all required precautions, such as the mandatory use of protective equipment.

Sec. 7.1.2 Updated by LS 09/28/2023 as per Revision Adopted 10/27/2020 BOD Meeting.

7.2 Smoking Policy:

GSD is committed to providing a safe and healthy environment for employees and visitors. Therefore, smoking is not permitted in the workplace.

7.3 Alcohol and Drug Use Policy:

In accordance with our general personnel policies, whose overriding concern is a regard for the employee as an individual as well as a worker, GSD has adopted the following policy:

- a. We believe alcoholism and drug dependencies are illnesses and should be treated as such.

- b. We believe the majority of employees who develop these dependencies can be helped to recover, and the District shall offer or facilitate appropriate assistance for the employee.
- c. We believe the decision to seek diagnosis and accept treatment for any suspected illness is the responsibility of the employee. However, continued refusal of an employee to seek treatment when it appears that substandard performance may be caused by any illness is not tolerated.
- d. We believe it is in the best interest of employees and the District that when alcoholism or drug dependency is present, it should be diagnosed and treated at the earliest possible stage. The District requires all new employees to pass a drug screening, and may, at its discretion, require further drug screening.
- e. Confidential handling of the diagnosis and treatment of alcoholism or drug dependency will be the responsibility of the appropriate manager and the General Manager.
- f. The objective of this policy is to retain employees who may develop alcohol or drug dependency by helping them to arrest its further advance before the condition renders them unemployable.
- g. All employees should be aware that the use of alcohol or any drugs interfering with safe and efficient functioning on the job is a matter of District concern and will be dealt with in an appropriate manner.
- h. Employees are prohibited from drinking alcoholic beverages on company time or on company premises, reporting to work or driving a District vehicle while under the influence of alcohol or drugs. Employees are prohibited from working, driving a District vehicle or appearing on Garberville Sanitary District premises under the influence of or in the possession of alcohol, illegal drugs, controlled substances, prescription drugs, or over-the-counter remedies that may impair the employee's ability to function, or that may endanger the safety of others.
- i. Substantial evidence of illegal actions on the job, including the use of illegal drugs, when such actions can be traced to specific employees, will be reported to the appropriate authority and will result in disciplinary action by the District.
- j. Employees convicted of illegal drug traffic charges will be immediately terminated. Employees found to be involved in such traffic on company premises or during working hours will be terminated and reported to the appropriate authorities.
- k. All employees must pass a drug and alcohol screen prior to beginning their duties. (See section 3.3.) The District reserves the right to screen employees for drug use as permitted by law including random drug screening of all employees, including managers, as well as drug and alcohol testing of individuals for cause.

7.4 Non-Harassment Policy:

GSD is committed to providing a work environment free of unlawful harassment. GSD's policies prohibit sexual harassment, and harassment based on pregnancy, childbirth or related medical conditions, race, religious creed, color, national origin or ancestry, physical or mental disability, medical condition, marital status, age, sexual orientation or harassment or any other basis protected by federal, state or local law, ordinance or regulation. GSD's anti-harassment policies apply to all persons involved in the operation of the organization and prohibit unlawful harassment by any employee, including supervisors and co-workers.

By way of example, prohibited unlawful harassment may include, but is not limited to, the following behavior:

- a. Verbal conduct such as epithets, derogatory jokes or comments, slurs or unwanted sexual advances, invitations or comments.
- b. Visual conduct such as derogatory and/or sexually oriented posters, photography, cartoons, drawings or gestures.
- c. Physical conduct such as assault, unwanted touching (including unwanted hugging), or blocking normal movement or interfering with work for reasons motivated by sex, race or any other protected status.
- d. Threats and demands to submit to sexual requests as a condition of continued employment, or to avoid some other loss, and offers of employment benefits in return for sexual favors.
- e. Retaliation for having reported or threatened to report harassment. If you believe that you have been unlawfully harassed, provide a written complaint to your own or any other GSD Management personnel or Chairperson or Member of the Board of Directors. To the extent permitted by law, due process, and fairness, written or oral complaints shall remain confidential. In the event a written complaint can not be prepared, it shall be made orally and then reduced to writing and signed by the complainant. A complaint should be specific and for each occurrence should include the date(s), time(s), location(s), names of the individuals involved and the names of any witnesses. An immediate, thorough and objective investigation of the harassment allegations shall be initiated by the appropriate manager or by the Chairperson of the Board of Directors.
- f. If GSD determines that unlawful harassment has occurred; effective remedial action will be taken in accordance with the circumstances involved. Any employee determined by GSD to be responsible for unlawful harassment will be subject to appropriate disciplinary action, up to and including termination. A GSD representative will advise all parties concerned of the results of the investigation. GSD will not retaliate against anyone for filing a complaint and will not knowingly tolerate or permit retaliation by management, employees or co-workers. GSD encourages all employees to report any incidents of harassment immediately so that complaints can be quickly and fairly resolved.

7.5 Standards of Conduct:

Following is a list of standards that GSD expects of its employees while on the job. Violation of these standards could lead to disciplinary action, including possible termination. Note: Both employee and the employer have the right to terminate the employment relationship at any time with or without cause. The standards are as follows:

- a. Courteous treatment of the public and fellow employees.
- b. Following all lawful and reasonable regulations and orders given by the supervisor.
- c. Attention to duty.
- d. Careful use of District property.
- e. Honesty.
- f. Promptness and consistent attendance.
- g. Appropriate use of sick leave.
- h. Professionalism.
- i. Compliance with the Alcohol and Drug Use Policy stated above.

7.6 General Office and Field Policies:

- a. Only Board Members, District employees, or authorized visitors are permitted in the office area behind the counter or on District property.
- b. Unauthorized or excessive personal use of telephones, Internet, and other office equipment, or District property, is not allowed.
- c. District Vehicle Usage: During working hours, trips for personal purposes will be avoided. Occasionally, stopping at a store en route to a business destination, or going to a restaurant (within close proximity of your work location) for lunch is permitted.
- d. Other than the foregoing uses, District Vehicles will not be used for any personal purposes without prior written approval.
- e. Personal Vehicle Usage: When an employee is authorized to use his/her personal vehicle in the performance of GSD work, he/she shall be reimbursed for the cost of said use on the basis of total miles driven and at the rate specified in the Internal Revenue Service Guidelines in effect at the time of said usage.
- f. Use of personal vehicles shall not be authorized for the performance of GSD work if a suitable GSD vehicle is available and safely operational.
- g. Every attempt shall be made to coordinate work so that GSD vehicles are available and operational for the performance of said work.
- h. Proof of adequate insurance coverage for collision, personal injury, and property damage shall be required by the GSD of any employee using a personal vehicle in the performance of GSD work.
- i. Tools will be inventoried annually by field staff. Employees are expected to use all tools and equipment with care and good judgment. Damage to tools and equipment must be reported to the Chief Operator or General Manager as soon as possible.
- j. Dress will be appropriate for the work to be performed. Employees must maintain a clean, neat appearance when working with the public.
- k. GSD reserves the right to inspect all GSD property to insure compliance with its rules and regulations, with or without notice to employee and/or in the employee's absence.
- l. GSD may keep a record of all passwords or codes used for any GSD electronic or other equipment, and GSD may at any time access any and all information on such equipment.

Sec. 7.6 Updated by LS 09/28/2023 as per Revision Adopted 10/27/2020 BOD Meeting.

7.7 Approval of Expenditures:

- a. Total expenditures for one calendar day by any employee for any single item or group of related items, may not exceed \$200.00, without advance General Manager's approval. Managers may set lower limits for expenditures by their staff.
- b. Exceptions to the above limits are chemical, materials and services for routine operation of water and wastewater plants and systems, and routine office supplies and expenses.
- c. Employees must follow the chain of command to obtain approval for emergency expenditures.
- d. The General Manager or designee shall submit a report to the Board for all emergency expenditures exceeding \$2,000.00 for any single item at the next Board Meeting.

Sec. 7.7 Updated by LS 09/28/2023 as per Revision Adopted 10/27/2020 BOD Meeting.

7.8 Questions regarding Board Members:

- a. All Questions regarding the Board of Directors, such as conflict of interest and questions about the interests or conduct of an individual Board Member should always be referred to the General Manager or designee. The General Manager or designee shall contact the Fair Political Practice Commission (FPPC) on all issues concerning conflict of interest for guidance. Staff should not respond to questions or comments on issues that are the sole responsibility of the General Manager or designee.
- b. For security reasons, inquiries regarding plant design or operational specifics may be referred to the Board at management's discretion.

7.9 News Media Contacts:

Employees may be approached for interview or comments by the news media. Only the General Manager, designee or Board Chairperson may comment to news reporters on GSD policy or events relevant to GSD.

Sec. 7.9 Updated by LS 09/28/2023 as per Revision Adopted 10/27/2020 BOD Meeting.

7.10 Seminars and Workshops:

Attendance at seminars or workshops by GSD staff must be approved by the General Manager. Per Diem and other costs associated with seminars and workshops will be approved on an individual basis for each seminar or workshop, based upon current Humboldt County reimbursement schedules.

7.10a Employee Training Requirements:

All Employees are required to complete Harassment and Ethics Training every 2 years while having a current training certificate on file.

Office Staff is required to attend at least one seminar/course annually, in the field they are responsible for.

Treatment Operators must complete the required courses to to keep their water, wastewater and distribution certificates current and compliant. A copy of certificates and training courses will be kept in their file.

Sec. 7.10a Updated by LS 10/05/2023 as per Revision Adopted 09/26/2023 BOD Meeting.

7.11 Conflict of Interest:

Employees must comply with Garberville Sanitary District's conflict of interest code set out in Appendix "D."

8.0 PERFORMANCE EVALUATION

The employee's performance is important to the District. The performance evaluations are guides to help the employee improve in needed areas and confirm their strengths in other areas. Once each year, on or about their anniversary date, the employee's supervisor will review their job progress within the District and help them to set new job performance plans. New employees will be reviewed at the end of their introductory three month period and then annually.

8.1 Annual Evaluation:

The appropriate manager will evaluate all employees, permanent and temporary, full-time and part-time at least annually:

- a. Management will evaluate their staff members and review the evaluation with the employee. Each employee evaluation shall be in writing: written by the appropriate manager and signed by the employee.
- b. The Chief Operator will be evaluated by the General Manager. The evaluation shall be in writing and signed by both the General Manager and Chief Operator.
- c. The General Manager will be evaluated based on the Agreement signed by the Board and the General Manager.
- d. The Board will consider a merit in grade raise based on General Manager's recommendation and evaluation of employees.

8.2 Interim Evaluations and Counseling:

Management may evaluate or counsel their staff as needed between annual evaluations to help employees improve their performance. The Board may evaluate or counsel the General Manager as needed between annual evaluations to help with his/her performance.

8.3 Positive Performance Guidelines:

Management will follow the Positive Performance Guidelines when evaluating or counseling their staff. Because GSD is an "at will" employer, both employee and employer have the right to terminate the employment relationship at any time with or without probable cause. Results of evaluations do not affect or modify the "at will" status of employment.

9.0 GRIEVANCE PROCEDURE

Any employee may obtain consideration of a grievance without jeopardizing the security of his/her position with the District by following the steps below:

- a. Discuss the grievance with the appropriate manager and try to reach a resolution.
- b. If the grievance is unresolved within 7 days, the employee may present his/her case in writing to the Board member appointed as Employee Liaison.
- c. The employee will meet with the appointed Board Employee Liaison to discuss, and if possible, resolve the grievance.
- d. If the grievance is not resolved within 7 days of the meeting with the Board Employee Liaison, the liaison or the employee may request in writing that this matter be presented to the Board within 15 days.
- e. Board of Directors' consideration of employee grievances will be conducted according to the Brown Act of the State of California. The employee bringing the grievance may choose whether discussion will be conducted in open or in executive (closed) session.
- f. In the event that the determination of the Board is not accepted by the Employee, the employee may request and the Board shall refer the matter to the Dispute Resolution Committee under Section 9.0. This grievance procedure is set out as a general method for the District and employees to resolve issues. However, nothing in this grievance procedure is intended to, or shall change the "at will" status of employment.

10.0 DISPUTE RESOLUTION PROCEDURE

Garberville Sanitary District will follow the dispute resolution procedure set forth in Appendix "C." As a condition of employment, ALL employees will be required to sign the dispute resolution.

11.0 RIGHT TO REVISE

This personnel policy manual contains the employment policies and practices of Garberville Sanitary District in effect at the time of publication. All previously issued handbooks and any inconsistent policy statements or memoranda are superseded.

Garberville Sanitary District reserves the right to revise, modify, delete, or add to any and all policies, procedures, work rules, or benefits stated in this manual or in any other document, except for the policy of "at-will" employment. However, any such changes must be in writing and must be approved by the Board of Directors of Garberville Sanitary District.

Any written changes to this manual will be distributed to all employees so that employees will be aware of the new policies or procedures. No oral statements or representations can in any way alter the provisions of this manual.

This Personnel Policy Manual sets forth the entire agreement between the employee and Garberville Sanitary District. Nothing in this manual or in any other personnel document, including benefits plans descriptions, creates or is intended to create a promise or representation of continued employment for any employee.

12.0 CONFIRMATION OF RECEIPT

By signing below the employee acknowledges receiving a copy of the District's Personnel Policy Manual. The employee understands and agrees that it is their responsibility to read and familiarize themselves with the policies and procedures contained in the manual.

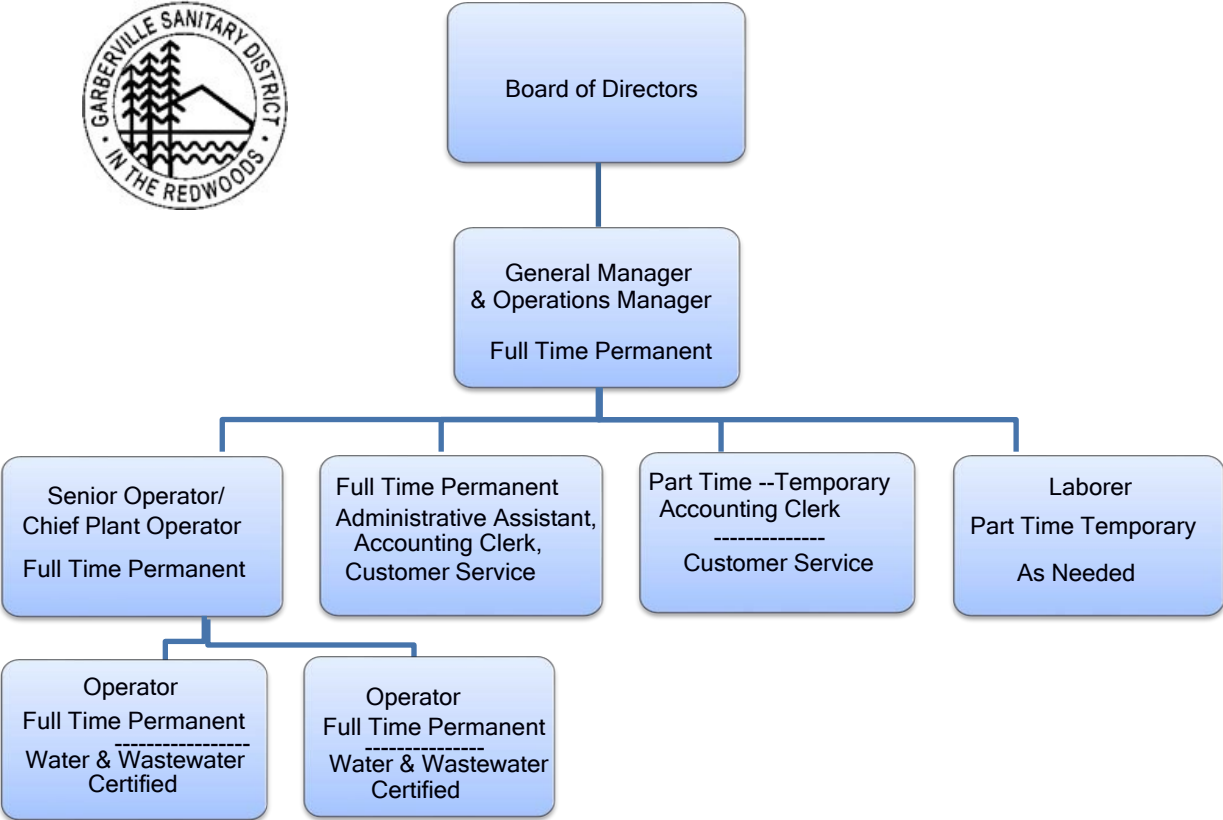
The employee understands that except for employment "at-will" employment status, any and all policies or practices can be changed at any time by the District. Garberville Sanitary District reserves the right to change the employee's hours, wages, and working conditions at any time. The employee understand and agree that other than the General Manager, no other person has authority to enter into any agreement, express or implied, for employment for any specific period of time, or to make any agreement for employment other than "at-will"; only the General Manager has the authority to make any such agreement and then only in writing.

The employee understand and agree that nothing in the Personnel Policy Manual creates or is intended to create a promise or representation of continued employment and that employment at Garberville Sanitary District is employment "at-will;" employment may be terminated at the

will of the District. The employee’s signature certifies that they understand that the foregoing agreement on “at-will” status is the sole and entire agreement between Garberville Sanitary District and the employee concerning the duration of their employment and the circumstances under which their employment may be terminated. It supersedes all prior agreements, understandings, and representations concerning their employment with Garberville Sanitary District.

EMPLOYEE SIGNATURE _____ DATE _____

APPENDIX: A. CHAIN OF COMMAND



APPENDIX: B. Job Descriptions:

Garberville Sanitary District

Classification Specifications

Established: 10/03/06

TITLE:

GENERAL MANAGER

ESSENTIAL FUNCTION

Under policy direction, serves as the chief executive officer responsible for supporting the service, financial and capital improvement planning activity of the governing board, executing actions to cause operations to adjust to enacted policy changes, directing the allocation of responsibility and staffing to departments and managing the scheduling of approved work programs in conformance with applicable statutes, regulations and policies.

PRIMARY DUTIES:

Service Planning: Gather and accumulate information to describe federal, state and regional legislative policy trends in allocating responsibilities, resources, financing and regulation of water and wastewater delivery and processing systems. Direct the development and adoption of long term capital improvement plans, associated services, and financing strategies. Identify industry trends; options and alternatives; recommend long term goals and short term objectives. Direct the development and preparation of budget requests including the description and quantification of service activity and justification of funding requirements for services, personnel, and supplies, routine operating capital equipment and facilities, and special projects.

District Operations: Direct inter-agency coordination and response to emergency or disastrous events. Establish standards for procedures, systems, equipment, personnel and other means by which operations are conducted. Direct the implementation of policy changes to services, funding levels, position allocations, operating policies, standards, procedures and rules. Coordinate response to claims and litigation in consultation with district counsel and indemnity coverage. Assure that all employment and safety, legal property records, titles and registrations, insurance and permit renewal actions are accomplished in conformance with federal, state, regional laws and regulations and District ordinances, policies and procedures.

District Organization: Recommend the allocation of operating responsibilities to major organizational components. Assign responsibilities and duties to all positions; approve all assignment of internal departmental organization responsibilities and duties to departmental positions. Justify the merits, necessity and organization of responsibility assignments and obtain governing board approval of organization structure. Assure positions are funded and allocated in relation to the approved structure. Initiate employment policy actions to fill all personnel positions. Select and hire all employees. Direct training and formally evaluates employee performance. Take formal commendation, discipline and discharge actions involving personnel.

Work Program Management: Present regular status reports and relevant recommendations on work program status to the governing board. Monitor progress of planning, design and construction of approved capital improvement projects; operating and reporting status of regulatory compliance activities; cash management, budget and service contract performance levels; and status of general operations. Direct the preparation of information and materials for presentation to the governing board, assure compliance with all public agency noticing requirements and applicable policies. Initiate action to cause proper records to be made and follow-up actions from governing board meetings to be accomplished.

Appendix: B. 1.

Garberville Sanitary District

Classification Specifications

Established: 10/03/06

Public and Staff Relations: Respond to inquiries from the public, press, customers, local officials, outside agencies, community organizations, and industry counterparts. Prepare or cause the preparation of analyses, reports, recommendations and position papers on water and wastewater matters pending before legislative or regulatory bodies. Participate in the activities of professional water and wastewater organizations on behalf of the District. Participate in District related committees, civic events and activities of community organizations. Participate in governing board and staff social functions.

Other Assigned Duties: Perform other duties assigned by the governing board which are consistent with the responsibilities of the position and necessary to the operations of the District.

Knowledge of the following is required to perform the essential function:

- Principles, practices, methods and technical support functions of special district services.
- Fundamental intent and basic provisions of federal, state and local financing mechanisms of special district.
- Specific application of federal, state and local legislative enactments applicable to special district utility operations.
- Techniques used for gathering, evaluating and summarizing special district service data and information in preparing budgets and supporting policy decision making processes.
- Personnel and operating practices as they apply to special district operations.

Ability to do the following is required to perform the essential function:

- Interpret and apply the general intent and specific provisions of multiple laws and regulations and professional practices to specific issues.
- Develop and implement design and construction standards and operating policies and procedures for multiple functional programs and personnel.
- Provide verbal and written directives, information and advice to a wide variety of people and officials.
- Persuasively communicate ideas and assert a point of view in complex or controversial situations.
- Exhibit and instill in subordinates a high public service priority.
- Establish and maintain open and honest communications with co-workers at all levels of the organization.

Qualifying Training and Experience:

- A combination of training and experience which demonstrates that a person has obtained the required knowledge and is able to perform the required work.

Employment Conditions:

Specifications Approved by Board of Directors.

Fair Labor Standards Act Overtime:

Exempt.

Appointment and Removal Authority:

Board of Directors.

ACCOUNT CLERK/ADMINISTRATIVE ASSISTANT

ESSENTIAL FUNCTION:

Under direct supervision, responsible for performing accounting and bookkeeping work in conformance with routine processes, accounting and financial transaction processing methods and accounting operations scheduling and control techniques. Responsible for performing a wide variety of general administrative support work and secretarial duties in conformance with established procedures.

PRIMARY DUTIES:

This is an entry level accounting and administrative support clerical classification. This position reports to the General Manager. This position is responsible for a full range of financial record keeping transactions including accounts receivable, accounts payable, utility billing, and collection. This position performs the full array of duties assigned by providing responsible, secretarial and administrative support. Demonstrates a full understanding of all applicable policies, procedures and work methods associated with assigned duties.

Billing and Collections: Maintains cash receipts log. Prepares and generates billings to customers in conformance with procedures applicable to each customer. Generate invoices, updates billing and payment information in customer accounts, generate statements and other collection documentation. Manage and audit all records. Receive and process payments on account and service connection payments for bank deposit. Prepare and present financial records of customer accounts for annual audit.

Payable: Administer full payables cycle (purchase order, encumbrances, vendor invoices, and issue check). Manage and audit all records.

General: Assist management staff with operation of accounting system and accounts receivable. Audit and reconcile accounting records. Assist with the preparation of Board agenda packets including researching and preparing reports for meetings. Perform secretarial duties such as generating correspondence for the District & Board of Directors. Order office supplies and keeping inventory control of all supplies and equipment. Processing mail, copying, filing and related work as required. Assist in maintaining public records. Operate under the guidelines of the District's Policies.

Other Assigned Duties: Perform other duties assigned which are consistent with the responsibilities of the position and necessary to the operations of the District.

Knowledge of the following is required to perform the essential function:

Knowledge of basic principles and practices of bookkeeping and financial record keeping; basic accounting principles; utility billing procedures and practices, and purchasing procedures.

Knowledge of administrative and office practices.

Modern office practices, procedures and equipment usage including computer, printers, fax machine and 10 key calculator.

Public relations abilities, verbal communication, as well as letter writing skills. Proper English, spelling and grammar.

Public and agency desk procedures and methods of providing services and information. Methods and technique for record keeping and report preparation.

Ability to do the following is required to perform the essential function:

Exhibit a high customer service priority.

Establish and maintain cooperative relations with those contacted in the course of work.

Ability to manage multiple projects at the same time.

Ability to work with others and accept delegated tasks.

Establish and maintain open and honest communications with co-workers at all levels of the organization.

Ability to maintain a variety of financial records; independently make mathematical calculations quickly and accurately.

Ability to plan, coordinate, organize, and prioritize work to meet deadlines. Ability to work independently and use good judgment Operate standard office equipment.

Basic Qualifications: A high school diploma or G.E.D. equivalent. Two years of experience involving financial record keeping and administrative/secretarial experience.

Employment Requirements: Must be lawfully allowed to work in the United States. A valid California State Class C license must be maintained at all times. Pass illegal drug screen. Be available to attend monthly evening Board meetings as needed. Participate in the Safety Program.

Qualifying Training and Experience:

A combination of training and experience which demonstrates that a person has obtained the required knowledge and is able to perform the required work.

Employment Conditions:

Specifications Approved by Board of Directors.

Fair Labor Standards Act Overtime:

Non-Exempt, receives overtime compensation.

Appointment and Removal Authority:

General Manager.

CHIEF OPERATOR

ESSENTIAL FUNCTION

Under supervision of General Manager, responsible for performing water and wastewater treatment processing and analysis work in conformance with regular procedures, approved treatment processing methods and regulatory prescribed techniques and verifying compliance with requirements and validating quality of completed work.

PRIMARY DUTIES:

This position reports to the General Manager and will direct and assist in the maintenance and operations of all district facilities. Make routine purchases of materials and maintain inventory of equipment. Coordinate with Regulatory Agencies for the operations of District facilities. Responsible for all reports and communication with Regulatory Agencies under the direction of the General Manager.

System Operation: Identify operational needs and make adjustments indicated by current conditions and variations to control and alter flow and/or treatment processes. Operate controls of manual and automatic equipment to start and stop pumps, engines, generators, valves and other equipment and devices which control and adjust power, water or wastewater conveyance, flow, treatment, discharge and biosolids removal processes. Controls the application of hazardous materials and chemicals such as chlorine. Records shift operating information on standard logs and checks lists. Will assign work, provide on the job instruction, and supervise field staff learning water or wastewater treatment operations.

System Monitoring: Reviews readings, logs and test results to determine current status of systems and plant processes. Reads, records, interprets and monitors meters, gauges, control panels and laboratory tests results to assess system functions and determine processing requirements. Extracts water and wastewater samples; prepares and maintains associated chain of custody and quality control records; transfers samples to laboratory and performs routine laboratory tests and analyses.

Service and Facilities Maintenance: Identifies and assists in diagnosing existing and potential repair requirements to plant, wells, pump stations, power generators and all related facilities and equipment. Performs routine scheduled preventive maintenance work and maintenance on equipment. Prepares maintenance and repair service requests and suggests changes to preventive maintenance schedules and actions. Establish or amend operational procedures and maintenance procedures to be approved the General Manager. Assists external contractors and internal repair crews to locate, evaluate, adjust, maintain and/or replace equipment, systems and facilities. Maintains central and remote work places. Performs maintenance work on facilities and grounds as needed. Administers safety program, performs monthly safety inspections. Responds to service requests and complaints from service users. Conducts field investigations and/or inspections of service conditions at user locations. Takes actions needed to resolve service needs. Prepares reports of service calls and complaints. Responds to utility service alerts.

General: Participates in the general housekeeping and administrative record keeping operations of the operations section. Prepares and presents monthly formal reports, including cost proposals for major equipment purchases and recommendations as appropriate. Prepares employee performance reviews on field staff for the General Manager. Maintain records required by all regulatory agencies, ordinances and policies. Assist the General Manager preparing annual budget, and coordinate field operations with office

operations. Reports all emergencies with the operations of facilities to both the General Manager and the Board Chairperson.

Other Assigned Duties: Perform other duties assigned by the General Manager which are consistent with the responsibilities of the position and necessary to the operations of the District.

Knowledge of the following is required to perform the essential function:

- Current practices, equipment and procedures applied to water and wastewater conveyance and treatment systems in the State.
- Federal, State and local legal and regulatory provisions and related technical terminology applicable to water and wastewater treatment processes.
- Chemical and biological procedures and tests applied in water and wastewater treatment.
- Basic construction, assembly and operational norms of hydraulic equipment, power generators, pumps and controls.
- Safety practice procedures applicable to all aspects of treatment and conveyance systems operations; procedures for using protective gear; and specific procedure applicable in handling and using hazardous materials and chemicals.

Ability to do the following is required to perform the essential function:

- Extract water and wastewater samples and perform standard laboratory tests.
- Observe, interpret and record measurements indicated on gauges, meters and similar measuring devices.
- Perform maintenance on water and wastewater equipment and facilities.
- Understand, retain and apply written procedures.
- Understand and explain specific provisions and procedures contained in technical manuals, drawings, specifications, blueprints, layouts and schematics.
- Exhibit a high customer service priority.
- Establish and maintain open and honest communications with co-workers at all levels of the organization.
- Instruct others in specific processes and procedures applied in treatment and equipment operations.
- Operate vehicles, office computers and field communications equipment.
- Operate water and wastewater equipment and process controls.
- Tolerate physical presence of height.
- Ascend and descend ladders and stairs.
- Within CALOSHA guidelines, withstand periods of physical exposure to the presence of fumes, odors, dust and pollen without incapacitating adverse effect.
- Safely enter and leave confined spaces.
- Safely transport and lift bulky objects.

Basic Qualifications: Must possess proper certification for operating the Water Treatment Plant and Wastewater Treatment Plant. At least three (3) years experience in the operation and maintenance of Water and Wastewater systems. Computer skills, ability to use word processing, spread sheets, and data processing is required.

Employment Requirements: A valid California State Class C license must be maintained at all times. Pass physical examination and illegal drug screen. Maintain telephone service and reside within 30 minutes response time to GSD. Be available to assist "on call" operator after normal scheduled work hours as needed.

Qualifying Training and Experience:

A combination of training and experience which demonstrates that a person has obtained the required knowledge and is able to perform the required work.

Employment Conditions:

Specifications Approved by Board of Directors.

Fair Labor Standards Act Overtime:

Non-Exempt, receives overtime compensation.

Appointment and Removal Authority:

General Manager.

SENIOR OPERATOR

ESSENTIAL FUNCTION

Under supervision, responsible for performing water and wastewater treatment processing and analysis work in conformance with regular procedures, approved treatment processing methods and regulatory prescribed techniques and verifying compliance with requirements and validating quality of completed work.

PRIMARY DUTIES:

This position reports to the General Manager and will direct and assist in the maintenance and operations of all district facilities. Make routine purchases of materials through administration and maintain inventory of equipment. Coordinate with all Regulatory Agencies the operations of District facilities.

System Operation: Identifies adjustments indicated by current conditions and variations to control and alter flow and/or treatment processes. Operates direct and remote controls of manual and automatic equipment to start and stop pumps, engines, generators, valves and other equipment and devices which control and adjust power, water or wastewater conveyance, flow, treatment, discharge and bio-solids removal processes. Controls the application of hazardous materials and chemicals such as chlorine. Records shift operating information on standard logs and checks lists. Will provide on the job instruction and train field staff learning water or wastewater treatment operations.

System Monitoring: Reviews readings, logs and test results to determine current status of systems and plant processes. Reads, records, interprets and monitors meters, gauges, control panels and laboratory tests results to assess system functions and determine processing requirements. Extracts water and wastewater samples; prepares and maintains associated chain of custody and quality control records; transfers samples to laboratory and performs routine laboratory tests and analyses.

Service and Facilities Maintenance: Identifies and assists in diagnosing existing and potential repair requirements to plant, wells, pump stations, power generators and all related facilities and equipment. Performs routine scheduled preventive maintenance work and maintenance on equipment.

Prepares maintenance and repair service requests and suggests changes to preventive maintenance schedules and actions. Establish or amend operational procedures and maintenance procedures to be approved the General Manager. Assists external contractors and internal repair crews to locate, evaluate, adjust, maintain and/or replace equipment, systems and facilities. Maintains central and remote work places. Performs maintenance work on facilities and grounds as needed. Administers the hazard material safety program, performs monthly safety inspections. Responds to service requests and complaints from service users. Conducts field investigations and/or inspections of service conditions at user locations. Takes actions needed to resolve service needs. Prepares reports of service calls and complaints. Responds to utility service alerts.

General: Participates in the general housekeeping and administrative record keeping operations of the operations section. Prepares and presents monthly formal reports, including cost proposals for major equipment purchases and recommendations as appropriate. Maintain records required by all regulatory agencies, ordinances and policies. Assist the General Manager preparing annual budget, and coordinate field operations with office operations. Reports all emergencies with the operations of facilities to both the General Manager and the Board Chairperson.

Other Assigned Duties: Perform other duties assigned by the General Manager which are consistent with the responsibilities of the position and necessary to the operations of the District.

Knowledge of the following is required to perform the essential function:

- Current practices, equipment and procedures applied to water and wastewater conveyance and treatment systems in the State.
- Federal, State and local legal and regulatory provisions and related technical terminology applicable to water and wastewater treatment processes.
- Chemical and biological procedures and tests applied in water and wastewater treatment.
- Basic construction, assembly and operational norms of hydraulic equipment, power generators, pumps and controls.
- Safety practice procedures applicable to all aspects of treatment and conveyance systems operations; procedures for using protective gear; and specific procedure applicable in handling and using hazardous materials and chemicals.

Ability to do the following is required to perform the essential function:

- Extract water and wastewater samples and perform standard laboratory tests.
- Observe, interpret and record measurements indicated on gauges, meters and similar measuring devices.
- Perform maintenance on water and wastewater equipment and facilities.
- Understand, retain and apply written procedures.
- Understand and explain specific provisions and procedures contained in technical manuals, drawings specifications, blueprints, layouts and schematics.
- Exhibit a high customer service priority.
- Establish and maintain open and honest communications with co-workers at all levels of the organization.
- Instruct others in specific processes and procedures applied in treatment and equipment operations.
- Operate vehicles, office computers and field communications equipment.
- Operate water and wastewater equipment and process controls.
- Tolerate physical presence of height.
- Ascend and descend ladders and stairs.
- Within CALOSHA guidelines, withstand periods of physical exposure to the presence of fumes, odors, dust and pollen without incapacitating adverse effect.

Safely enter and leave confined spaces.
Safely transport and lift bulky objects.

Basic Qualifications: Must possess proper certification for operating the Water Treatment Plant and Wastewater Treatment Plant. At least three (3) years experience in the operation and maintenance of Water and Wastewater systems. Computer skills, ability to use word processing, spread sheets, and data processing is required.

Employment Requirements: A valid California State Class C license must be maintained at all times. Pass physical examination and illegal drug screen. Maintain telephone service and reside within 30 minutes response time to GSD. Be available to assist “on call” operator after normal scheduled work hours as needed.

Qualifying Training and Experience:

A combination of training and experience which demonstrates that a person has obtained the required knowledge and is able to perform the required work.

Employment Conditions:

Specifications Approved by Board of Directors.

Fair Labor Standards Act Overtime:

Non-Exempt, receives overtime compensation.

Appointment and Removal Authority:

General Manager.

OPERATOR I

ESSENTIAL FUNCTION

Under direct supervision, responsible for performing water and wastewater treatment processing work in conformance with routine processes, treatment methods and in conformance with regulations and standard work and safety techniques.

PRIMARY DUTIES:

This is an entry level technical water and wastewater treatment classification. This position reports to the Chief Operator.

System Operations: Reviews readings, logs and test results to determine current status of systems and plant processes. Reads, records, interprets and monitors meters, gauges, control panels and laboratory test results to assess system functions and determine processing requirements.

Operates direct and remote controls of manual and automatic equipment to start and stop pumps, engines, generators, valves and other equipment and devices which control and adjust power, water or wastewater conveyance, flow, treatment, discharge and bio-solids removal processes. Extracts water and/or wastewater samples; prepares and maintains associated control records; transfer samples to laboratory and performs routine laboratory tests and analyses. Assists in applying chemicals to treatment processes.

Facilities Maintenance: Identifies and assists in diagnosing existing and potential repair requirements to plant, wells, pump stations, power generators and all related facilities and equipment. Performs routine scheduled preventive maintenance work and maintenance on equipment. Prepares maintenance and repair service requests. Assists external contractors and internal repair crews to locate, evaluate, adjust, maintain and/or replace equipment, systems and facilities. Maintains central and remote work places. Performs maintenance work on facilities and grounds as needed. Responds to customer service calls. Assists in conducting field investigations and/or inspections of service conditions at user locations. Takes actions needed to resolve service needs. Prepares reports of service calls and complaints. Responds to utility service alerts. Assists in housekeeping maintenance of work places.

General: Participates in the general housekeeping and administrative record keeping operations of the operations section. Prepares and presents formal reports and recommendations as appropriate. Inform if new or additional equipment is needed and maintain an inventory of equipment. Perform daily laboratory test and data entries on plant performance and equipment logs. Reports all emergencies with the operations of facilities to the Chief Operator.

Other Assigned Duties: Perform other duties assigned which are consistent with the responsibilities of the position and necessary to the operations of the District.

Knowledge of the following is required to perform the essential function:

- Current practices, equipment and procedures applied to water and wastewater conveyance and treatment systems in the State.
- Federal, State and local legal and regulatory provisions and related technical terminology applicable to water and wastewater treatment processes.
- Chemical and biological procedures and tests applied in water and wastewater treatment.
- Basic construction, assembly and operational norms of hydraulic equipment, power generators, pumps and controls.

- Safety practice procedures applicable to all aspects of treatment and conveyance systems operations; procedures for using protective gear; and specific procedure applicable in handling and using hazardous materials and chemicals.

Ability to do the following is required to perform the essential function:

- Extract water and wastewater samples and perform standard laboratory tests.
- Observe, interpret and record measurements indicated on gauges, meters and similar measuring devices.
- Perform maintenance on water and wastewater equipment and facilities.
- Understand, retain and apply written procedures.
- Understand and explain specific provisions and procedures contained in technical manuals, drawings, specifications, blueprints, layouts and schematics.
- Exhibit a high customer service priority.
- Establish and maintain open and honest communications with co-workers at all levels of the organization.
- Instruct others in specific processes and procedures applied in treatment and equipment operations.
- Operate vehicles, office computers and field communications equipment.
- Operate water and wastewater equipment and process controls.
- Tolerate physical presence of height.
- Ascend and descend ladders and stairs.

Within CALOSHA guidelines, withstand periods of physical exposure to the presence of fumes, odors, dust and pollen without incapacitating adverse effect.

Safely enter and leave confined spaces.

Safely transport and lift bulky objects.

Basic Qualifications: Must possess Grade I certification for operating Water Treatment. At least two (2) years experience in the operation and maintenance of Water Treatment systems. Must obtain Grade II Water Treatment certificate within one (1) year and a Grade II Wastewater Treatment certificate in three (3) years. Computer skills, ability to use word processing, spread sheets, and data processing is desirable.

Employment Requirements: A valid California State Class C license must be maintained at all times. Pass physical examination and illegal drug screen. Maintain telephone service and reside within 30 minutes response time to GSD. Be available to assist “on call” operator after normal scheduled work hours as needed. Participate in the Safety Program and be physically able to use safety equipment.

Qualifying Training and Experience:

A combination of training and experience which demonstrates that a person has obtained the required knowledge and is able to perform the required work.

Employment Conditions:

Specifications Approved by Board of Directors.

Fair Labor Standards Act Overtime:

Non-Exempt, receives overtime compensation.

Appointment and Removal Authority:

General Manager.

APPENDIX: C. Dispute Resolution Procedure.
APPENDIX “C”

DISPUTE RESOLUTION PROCEDURE

In the event any issue or dispute arising under or involving any provision under this Personnel Manual or any issue regarding an employee’s employment with the Garberville Sanitary District (“District”) or the termination of employment, except for claims for worker’s compensation, unemployment insurance, or any matter within the jurisdiction of the California Labor Commissioner, the issue or dispute shall be submitted to voluntary and confidential mediation if agreed to by the employee and the District. This procedure is in addition to the Grievance Procedure for employees set out in Section 9.0 of the Personnel Policy. It is intended to provide direct access to members of the Board as an alternative and additional procedure for resolving any disputes within the District.

The party initiating the mediation shall notify the Chairperson of the Board of Directors. All communications arising out of or related to the mediation shall be confidential in compliance with California Evidence Code Section 1115 to 1128. The mediation shall cover any issue raised by either party. The Chair shall then appoint two members of the Board to serve as an ad hoc Dispute Resolution Committee one of whom will be designated as Chair of the Dispute Resolution Committee (DRC). The Chair of the DRC will contact all parties to the dispute, arrange for meetings and use appropriate mediation and facilitation skills to resolve the dispute.

All discussions will be kept confidential and will result in no recommendation for actions by either member of the DRC. In the event that the dispute is not resolved, the Chairperson shall declare an impasse. If the impasse causes harm either to the District or any party to the dispute, the case shall be referred to private and binding under Title 9, Arbitration, and California Code of Civil Procedure Sections 1280, et seq. The designated arbitrator is authorized to award attorney fees and or costs to either or neither party. Arbitration shall be the exclusive method for resolving any issue or dispute. Any party may request equitable relief from the Arbitrator, including but not limited to injunctive relief, which then may, if necessary, submit the award for confirmation from a court of competent jurisdiction. The claims that are to be arbitrated under this agreement include but are not limited to any and all claims related to employee’s employment, or termination.

Except as otherwise provided by law, this Dispute Resolution Procedure through arbitration precludes either the employer or employee or any party to the arbitration from bringing a civil action for issues or disputes relating to, among other things, termination of employment, alleged discriminatory conduct, or alleged sexual or other harassment, and thereby precludes the employer or employee from having such disputes or allegations tried before a judge or a jury.

Arbitration and/or mediation proceedings will be held at a location mutually convenient to the employee, party, and the District. Within thirty (30) days following the hearing and the submission of the matter to the arbitrator, the arbitrator shall issue a written opinion and award, which shall be signed and dated. The arbitrator's award shall decide all issues submitted by the parties and the arbitrator may not decide any issue not submitted. The arbitrator shall be permitted to award only those remedies in law or equity, which is requested by the parties. The cost of the arbitrator and other incidental costs of arbitration may be equally shared between the employee and the District subject to the Arbitrator's power to award of attorney fees and costs.

Personnel Policy Appendix: C. Adopted 6/6/06 -1 - Amended 11/07/06

The General Manager and Employees of the District shall sign the following declaration and acceptance:

I _____ (employee), and the District, hereby confirm that we understand and consent to the foregoing Dispute Resolution Procedure. We understand that our agreement to use this procedure means that, except as specifically excepted in the Procedure, we cannot bring civil claims arising out of employment in court, before a judge or a jury, and as such this document constitutes a waiver of our rights to have matters heard by a judge or jury. We have fully considered the effects of this waiver and the procedure provided herein, have consulted with or waived consultation with our own private attorney, and willingly acknowledge, understand, and consent to this Dispute Resolution Procedure.

EMPLOYEE:

Signed _____ Dated _____

Print _____

DISTRICT:

Signed _____ Dated _____

Print _____

Title _____

APPENDIX: D. Conflict of Interest Code.
APPENDIX "D"

CONFLICT OF INTEREST CODE

Section: 1. Introduction-

In compliance with the Political Reform Act of 1974, California Government Code Sections 81000, et seq., and specifically with Sections 87300 et seq., the GARBERVILLE SANITARY DISTRICT (GSD) hereby adopts this Conflict of Interest Code, which shall be applicable to all designated employees of the agency. The requirements of the Act such as the general prohibition against conflicts of interest contained in Government Code Section 87100, and to any other state or local laws pertaining to conflicts of interest.

Section: 2. Definition of Terms-

The definitions contained in the Political Reform Act of 1974, the regulations of the Fair Political Practices Commission (2 Cal. Adm. Code Sections 18100 et seq.), and any amendments to the Act or regulations, are incorporated by reference into this Conflict of Interest Code.

Section: 3. Designated Employees-

The Board Chair, all Board Members, General Manager and the Chief Operator are persons holding positions that are designated employees. It has been determined that these officers and employees make or participate in the making of decisions which may foresee-ably have a material affect on financial interests.

Section: 4. Disclosure Statements-

A designated employee shall be assigned one or more of the disclosure categories set forth in Appendix B. It has been determined that the financial interests set forth in a designated employee's disclosure category are the types of financial interest which he or she foreseeable can affect materially through the conduct of his or her office. Each designated employee shall file statements of economic interests disclosing his or her financial interests as required by the applicable disclosure category.

Section: 5. Place of Filing-

All designated employees required to submit a statement of economic interest shall file the original with the General Manager of GSD, who shall be the filing officer for all designated employees and officers. Upon receipt of the statement of economic interest the General Manager of GSD shall make and retain a copy and forward the originals of these statements to the Humboldt County Board of Supervisors, who shall be the filing officer, within five days of the filing deadline or five days of the receipt in the case of statements filed late.

Section: 6. Time of Filing-

- A) Initial Statements. All designated employees employed by the District on the effective date of this Code shall file statements within thirty days after the effective date of this Code.
- B) Assuming Office Statements. All persons assuming designated positions after the effective date of this Code which are elected or appointed positions, shall file statements within thirty days after assuming the designated positions.

- C) Annual Statements. All designated employees shall file statements no later than January, 31.
- D) Leaving Office Statements. All persons who leave designated positions shall file statements within thirty days after leaving office.

Section: 7. Contents of Statements-

- A) Contents of Initial Statements. Initial statements shall disclose any reportable investments and interests in real property (and management positions) held on the effective date of the Code.
- B) Assuming Office Statements. Assuming office statements shall disclose any reportable investments and interests in real property and management positions held on the date of assuming office (or on the date of nomination, whichever is earlier).
- C) Contents of Annual Statements. Annual statements shall disclose any reportable investments, interests in real property, and income (and management positions) held or received during the previous calendar year provided, however, that the period covered by an employee's first annual statement shall begin on the effective date of the Code or the date of assuming office, whichever is later.
- D) Contents of Leaving Office Statements. Leaving office statements shall disclose reportable investments, interests in real property and income or management positions held or received during the period between the closing date of the last statement filed and the date of leaving office.

Section: 8. Manner of Reporting-

Disclosure statements shall be made on Standard Form 700, and shall contain the following information:

- A) Contents of Investment and Real Property Reports. When an investment or interest in real property is required to be reported, (For the purpose of disclosure only (not disqualification), an interest in real property does not include the principal residence of the filer). The statement shall contain the following:
 - 1) A statement of the nature of the investment or interest;
 - 2) The name of the business entity in which each investment is held, and a general description of the business activity in which the business entity is engaged;
 - 3) The address or other precise location of the real property;
 - 4) A statement whether the fair market value of the investment or interest in real property exceeds one thousand dollars (\$1,000), exceeds ten thousand dollars (\$10,000), or exceeds one hundred thousand dollars(\$100,000).
- B) Contents of Personal Income Reports. When personal income is required to be reported, the statement shall contain:
 - 1) The name and address of each source of income aggregating to two hundred and fifty dollars (\$250) or more in value, or twenty-five (\$25) or more in value if the income was a gift, and a general description of the business activity, if any, of each source.
 - 2) A statement whether the aggregate value of income from each source was one thousand dollars (\$1,000) or less, greater from one thousand dollars, or greater than ten thousand dollars (\$10,000);
 - 3) A description of the consideration if any, for which the income was received;
 - 4) In the case of a gift the name and address of the donor: a description of the gift, the amount or value of the gift, and the date on which the gift was received.

- C) Contents of Business Entity Income Reports. When income of a business entity, including income of a sole proprietorship, is required to be reported, the statement shall contain:
- 1.) The name, address, and general description of the business activity of the business entity.
 - 2) In the case of a business entity which provides legal or brokerage services, the name of every person who paid fees to the business entity if the filer's pro rata. Share of fees from such person was equal to or greater than one thousand dollars (\$1,000);
 - 3) In the case of a business entity not covered by paragraph 2), the name of every person from whom the share of gross receipts from such person was equal to or greater than ten thousand dollars (\$10,000).
- D) Contents of Management Position Reports:
- 1) When management positions are required to be reported, a designated employee shall list the name and address of each business entity in which he or she is a director, officer, partner, trustee, employee, or in which he or she holds any position of management, a description of the business activity in which the business entity is engaged and the designated employee's position with the business entity.
 - 2) Investments and interests in real property which have a fair market value of less than \$1,000 are not investments and interest in real property within the meaning of the Political Reform Act. However, investments or interests in real property of an individual include those held by the individual's spouse and dependent children as well as a pro rata share of any investments or interest in real property of any business entity or trust in which the individual, spouse and dependent children own, in the aggregate, a direct, indirect or beneficial interest of 10% or greater.
 - 3) A designated employee's income includes his or her community property interest in the income of his or her spouse.
 - 4) Income of a business entity is reportable if the direct, indirect or beneficial interest of the filer, spouse and dependent children in the business entity aggregates a 10% or greater interest. In addition, the disclosure of persons who are clients or customers of a business entity is required only if the source is within one of the disclosure categories of the filer.
- E) Acquisition or Disposal During Reporting Period: In the case of an annual or leaving office statement, if an investment or interest in real property was partially or wholly acquired or disposed of during the period covered by the statement, the statement shall contain the date of acquisition or disposal.

Section: 9. Disqualification-

Designated employees must disqualify themselves from making, participating in the making or using their official positions to influence the making of any governmental decision which will foreseeable have a material financial effect, distinguishable from its effect on the public generally, on:

- A) Any business entity in which the designated employee has a direct or indirect investment worth more than one thousand dollars (\$1,000);
- B) Any real property in which the designated employee has a direct or indirect interest worth more than one thousand dollars (\$1,000);

- C) Any source of income other than loans by a commercial lending institution in the regular course of business aggregating two hundred fifty dollars (\$250) or more in value received by or promised to the designated employee within twelve months prior to the time when the decision is made; or,
- D) Any business entity in which the designated employee is a director, officer, partner, trustee, employee, or holds any position of management. No designated employee shall be prevented from making or participating in the making of any decision to the extent his or her participation is legally required for the decision to be made. (The fact that a designated employee's vote is needed to break a tie does not make his or her participation legally required for purposes of this section.)

Section: 10. Manner of Disqualification-

A designated employee required to disqualify him or herself shall notify his or her supervisor in writing. This notice shall be forwarded to the General Manager who shall record the employee's disqualification. Upon receipt of such statement, the supervisor shall reassign the matter to another employee. In the case of a designated employee who is a Board Member, notice of disqualification shall be given at the meeting during which consideration of the decision takes place and shall be made part of the official record of the Board.

Conflict of Interest Designated Employees- Appendix A

Any and all employees in supervisory, or management capacity; Specifically the District Administrator, Chief Operator, Fiscal Officers, Board Chair, Board Members, and Consultants.*

*The Board may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The determination is a public inspection in the same manner and location as this conflict of interest code.

Disclosure Categories- Appendix B

All designated employees shall complete the same Form 700 -Statement of Economic Interests, as provided by the Fair Political Practices Commission.

EMPLOYEE:

Signed _____ Dated _____

Print _____

DISTRICT:

Signed _____ Dated _____

Print _____

Title _____